

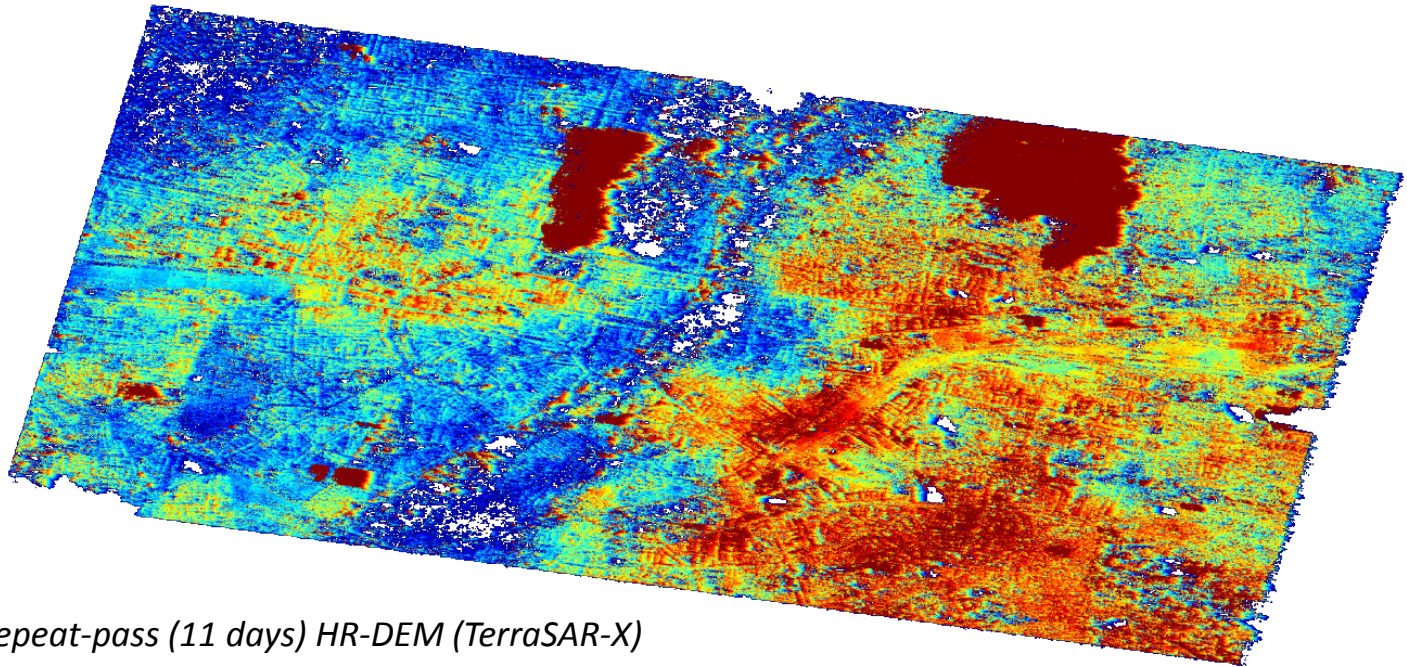
Potentials of the TanDEM-X mission in the generation of urban DEMs

Cristian Rossi, Thomas Fritz, Michael Eineder – *German Aerospace Center*
Stefan Gernhardt – *Munich Technical University*

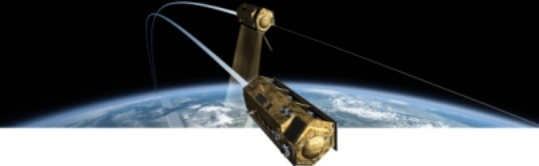


Motivations

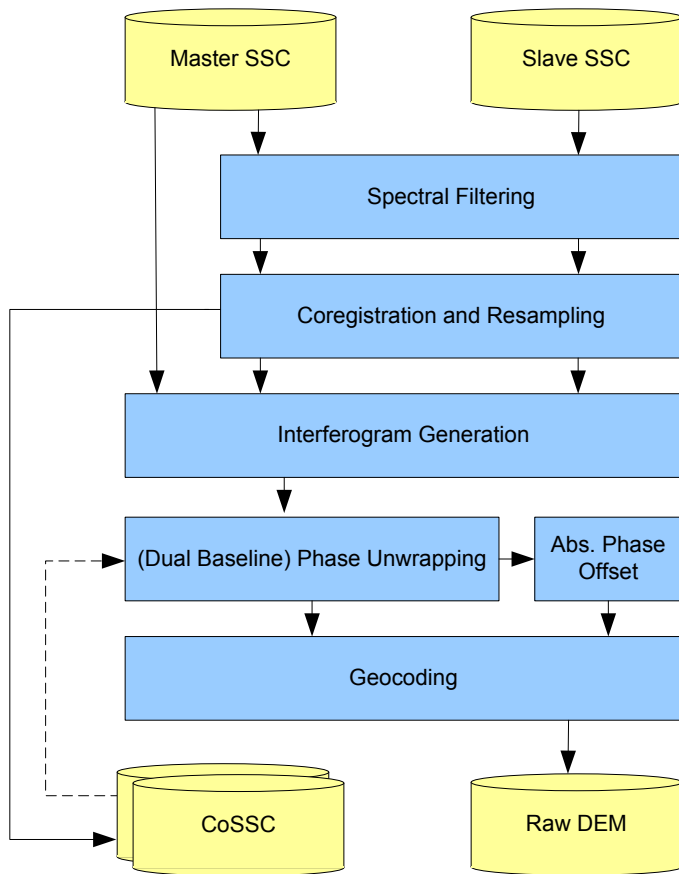
- TanDEM-X mission offers two important pre-requisites for the exploitation of SAR in the generation of urban DEMs
 - 1) High-resolution
 - 2) Absence of temporal decorrelations (*single-pass interferometry*)



- TanDEM-X is a **global** mission: **all the cities all over the world are mapped** → how?



TanDEM-X Interferometric Processing (ITP)



- The operational spatial resolution (12x12 m) is not sufficient for a (dense) urban mapping
- DEMs with 3 meters resolution are experimentally generated with TanDEM-X HRS data
→ suitable for urban mapping
- **Deviations** from the operational ITP algorithms may be useful for the generation of urban DEMs:
 - spectral shift filtering configuration (OFF)
 - coregistration configuration (window size/subs.)
 - interferogram generation (Nlooks / adaptive)
 - phase unwrapping (cost function, ON/OFF)
 - geocoding (layover management)



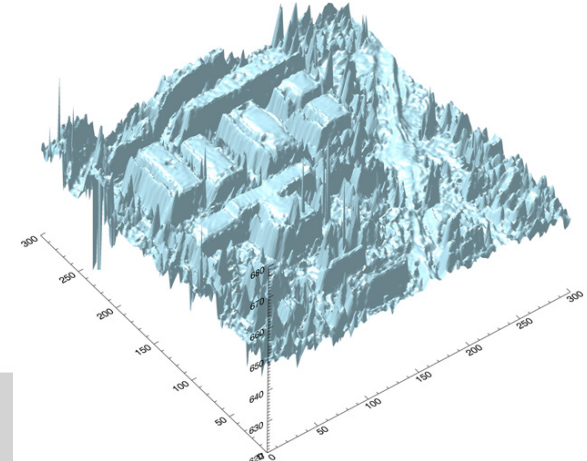
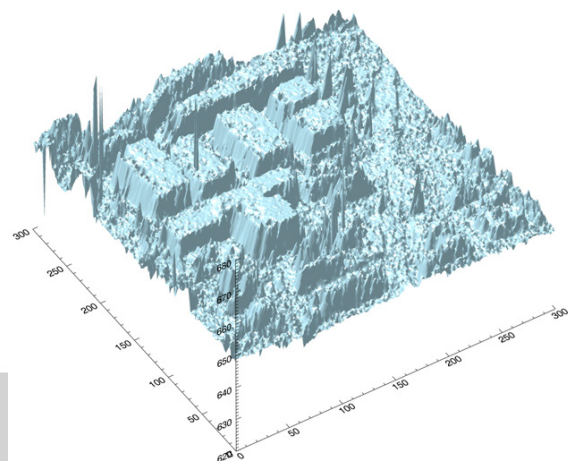
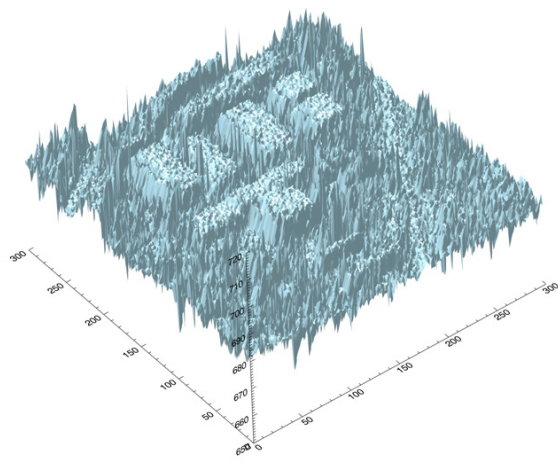
Interferogram Generation

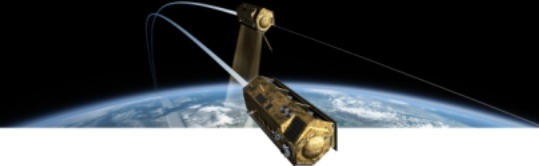
- **Complex multilooking**: a number of L neighboring pixels are averaged to yield an estimate of the interferometric phase and coherence. In ITP a moving average window is set to the purpose.
- The **theoretical interferogram resolution** depends on the number of looks L used in the processing:

$$r_{az} = \frac{PBW}{PRF} \Delta g_{az} L_{az} \quad \triangleright \text{ground azimuth resolution}$$

$$r_{rg} = \frac{RBW}{RSF} \Delta g_{rg} L_{rg} \quad \triangleright \text{ground range resolution}$$

- Adaptive techniques mix **“radiometrically close”** pixels instead of all the pixels inside a window to increase the final precision → more accurate phase unwrapping → better DEM, better performances, better application results (i.e. edge detection)
 1. “General Adaptive-Neighborhood Technique for Improving SAR Interferometric Coherence Estimation”, Vasile et al, 2004.
 2. “NL-InSAR, Non Local Interferogram Estimation”, Deladalle et al. 2011.

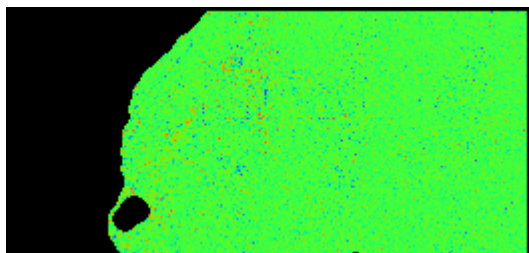
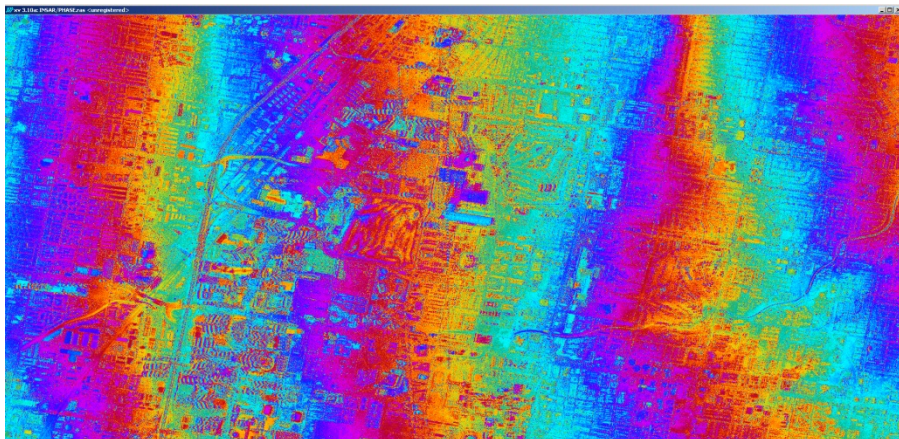




Phase Unwrapping Error Detection

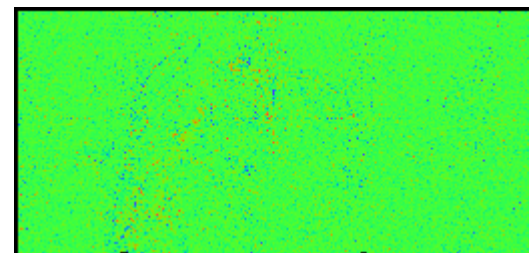
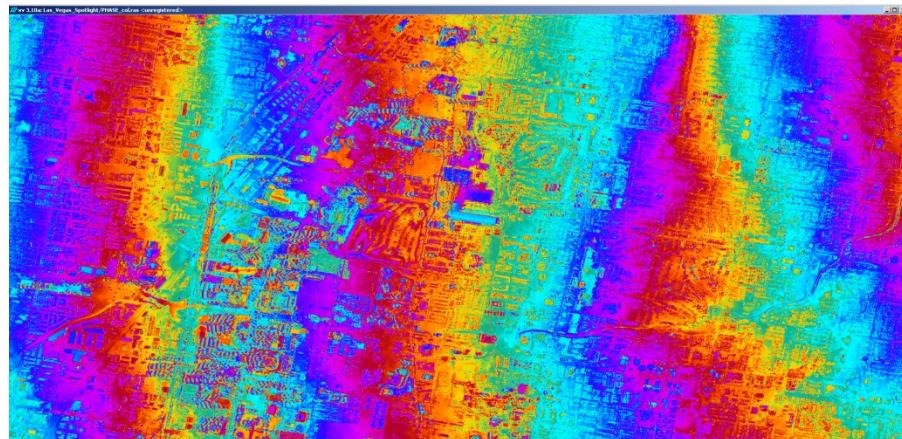
- *Radargrammetric Control Map*: ITP tool for PU errors detection *

Non-Adaptively Filtered



$q_{\text{ratio}} = 76\%$

Adaptively Filtered



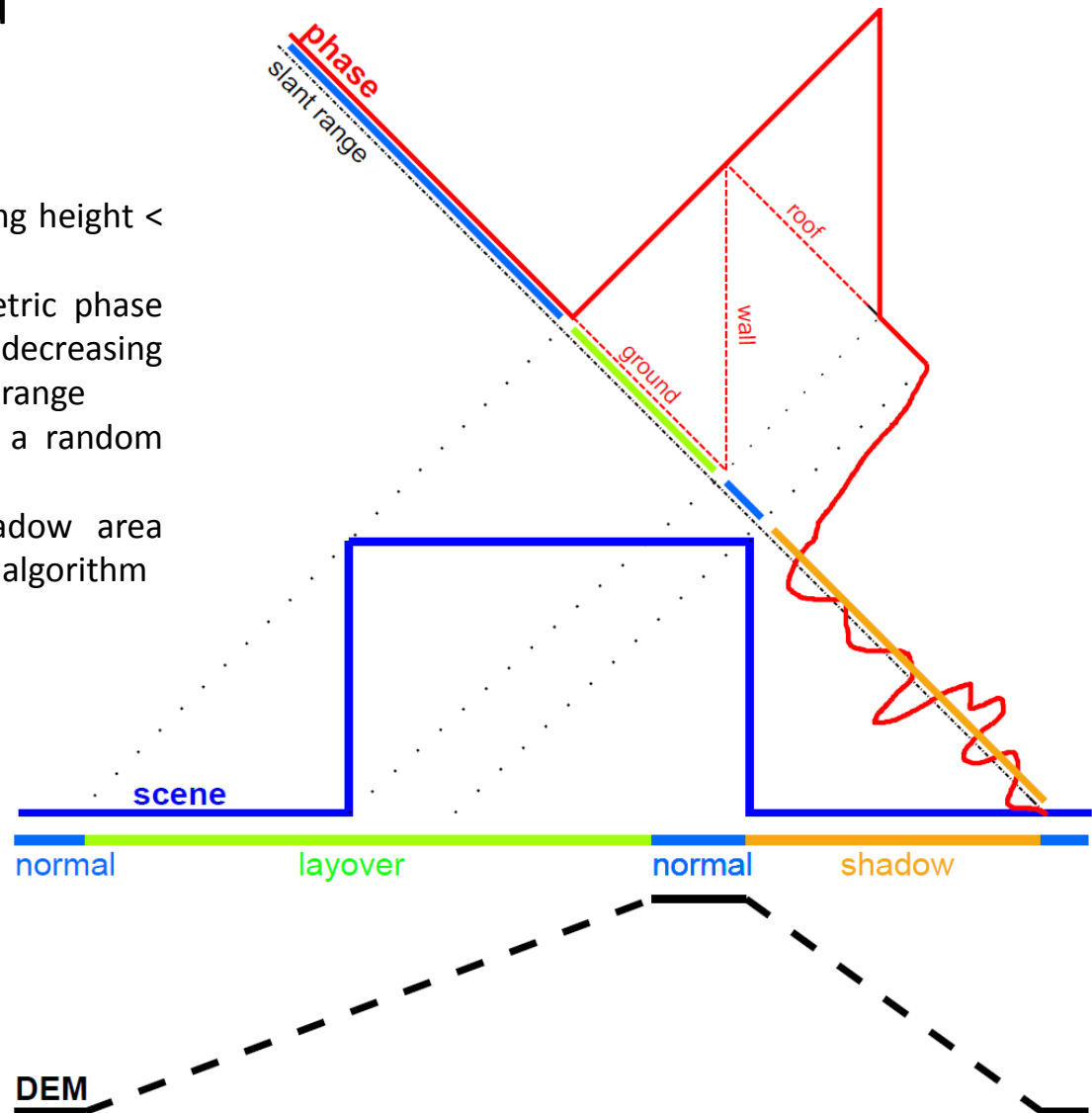
$q_{\text{ratio}} = 99.9\%$

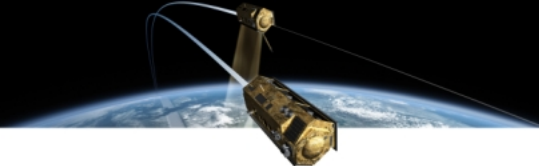
→ adaptive filter recommended



Theoretical Phase Trend

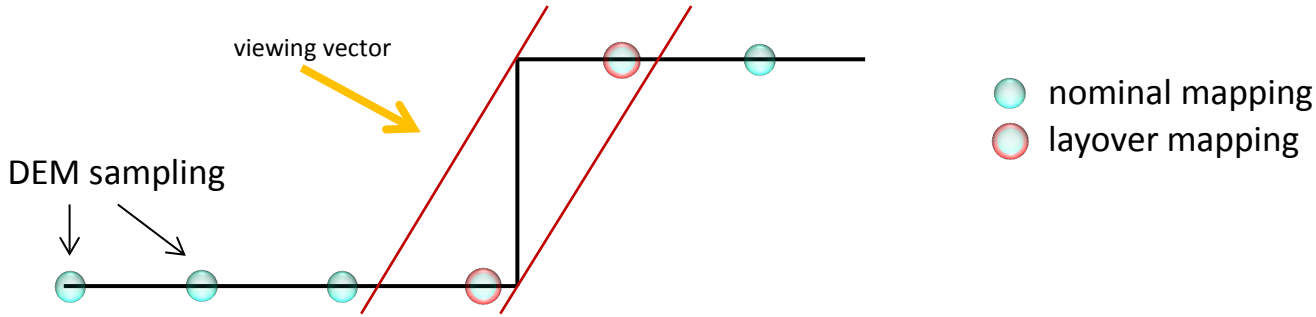
- Correct unwrapping assumed (building height < $HoA/2$)
- In the layover area the interferometric phase has a downtrend profile due to the decreasing height of the wall for increasing slant range
- In the shadow area the phase has a random profile
- The DEM in the layover and shadow area depends on the employed geocoding algorithm



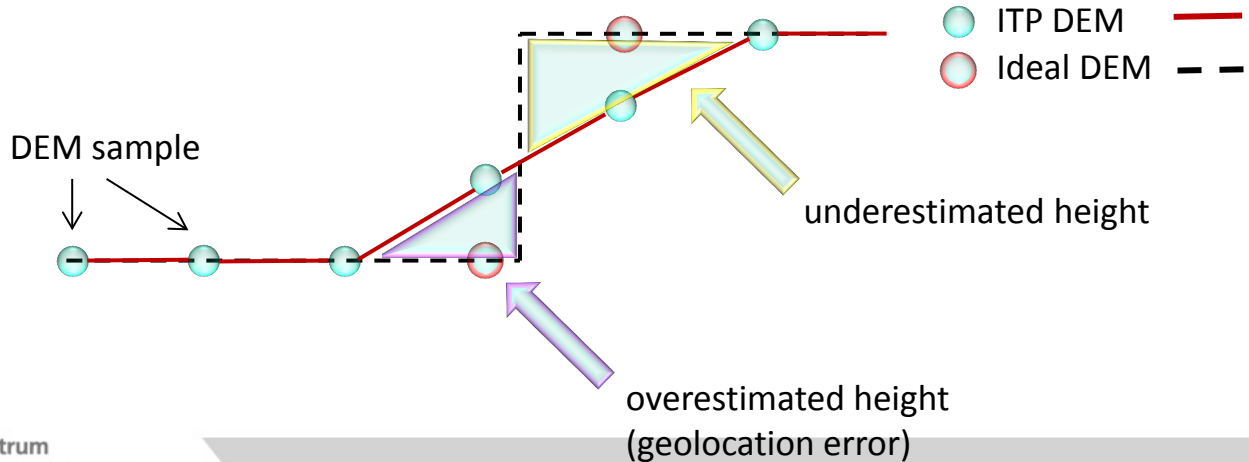


SAR Geocoding on Buildings

- Due to the SAR side-looking geometry, layover cannot be directly solved in single-pass DEMs (=TanDEM-X)

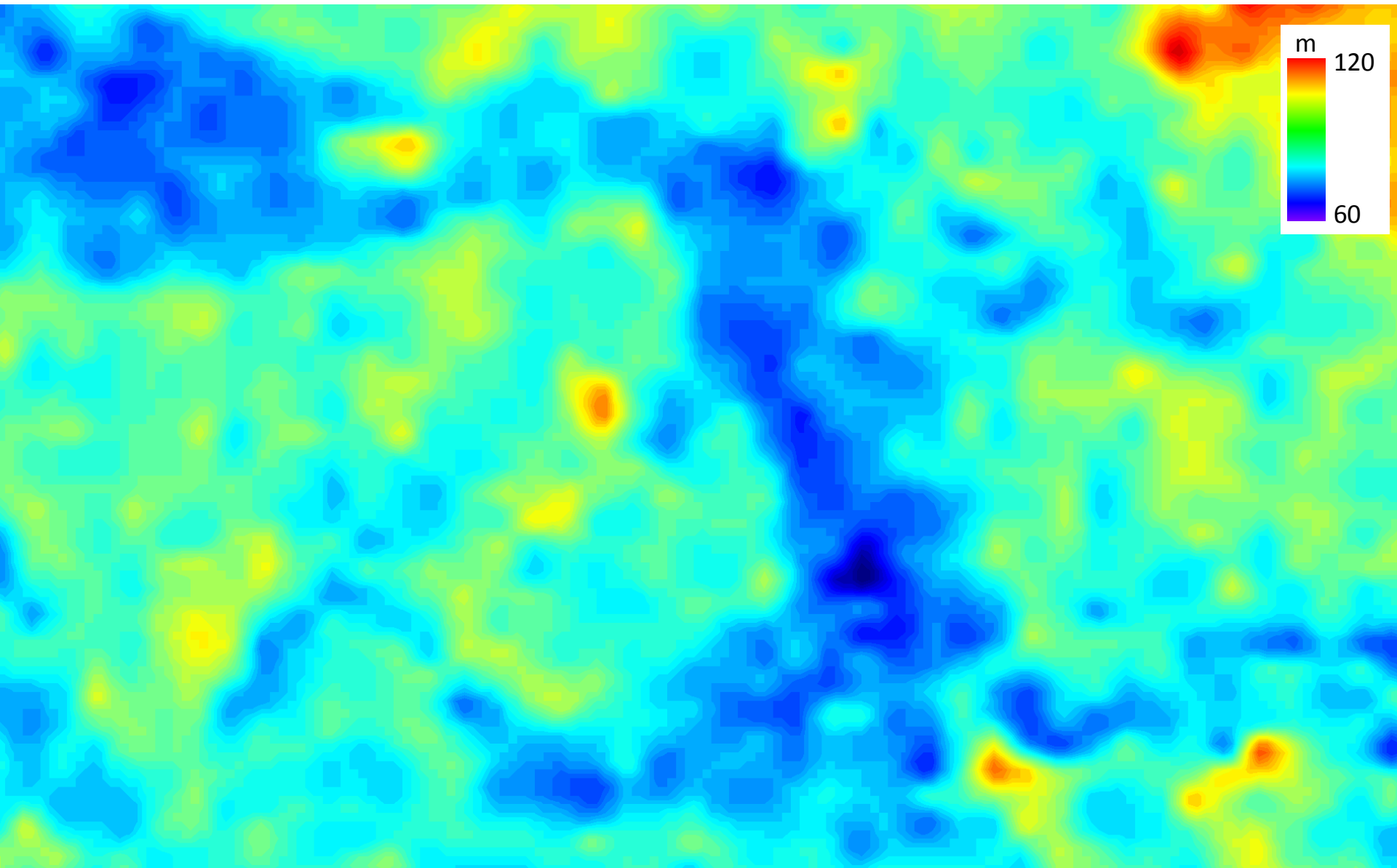


- The geocoding algorithm “connects” the first and last unlayovered samples creating a geolocation error and a building height underestimation



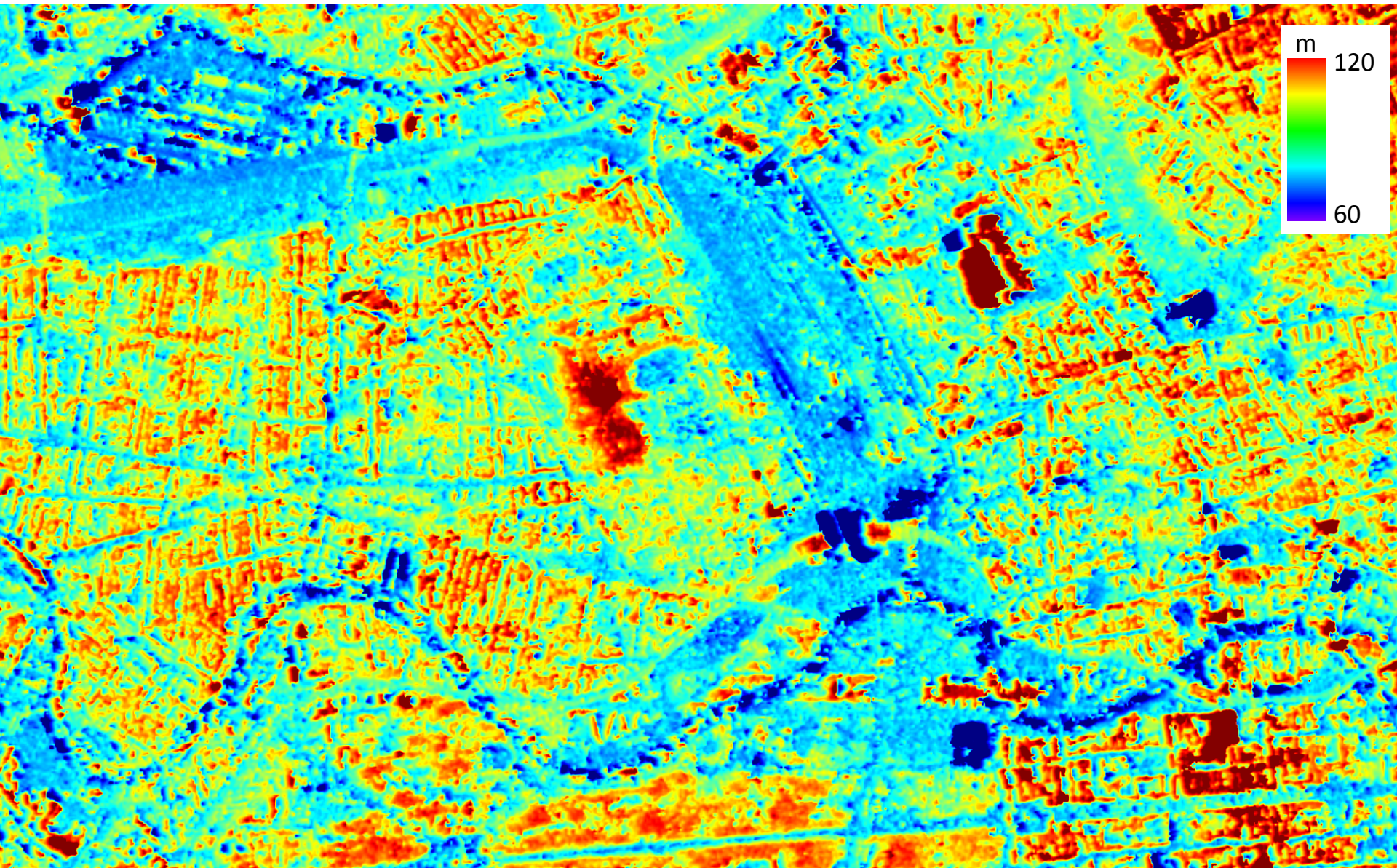


SRTM (2.5 m posting – interpolated)



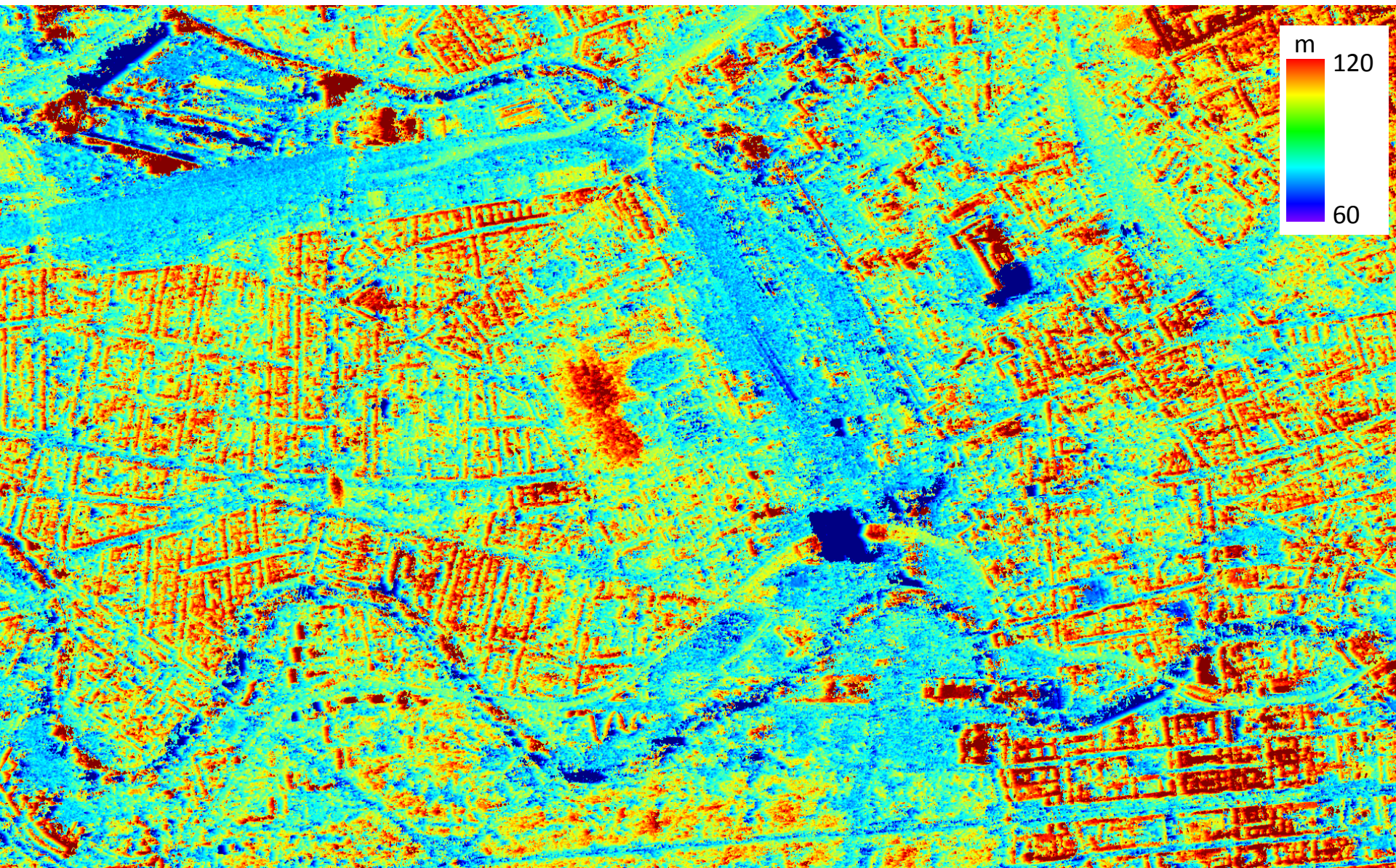


TanDEM-X Operational Stripmap (2.5 m posting – interpolated)



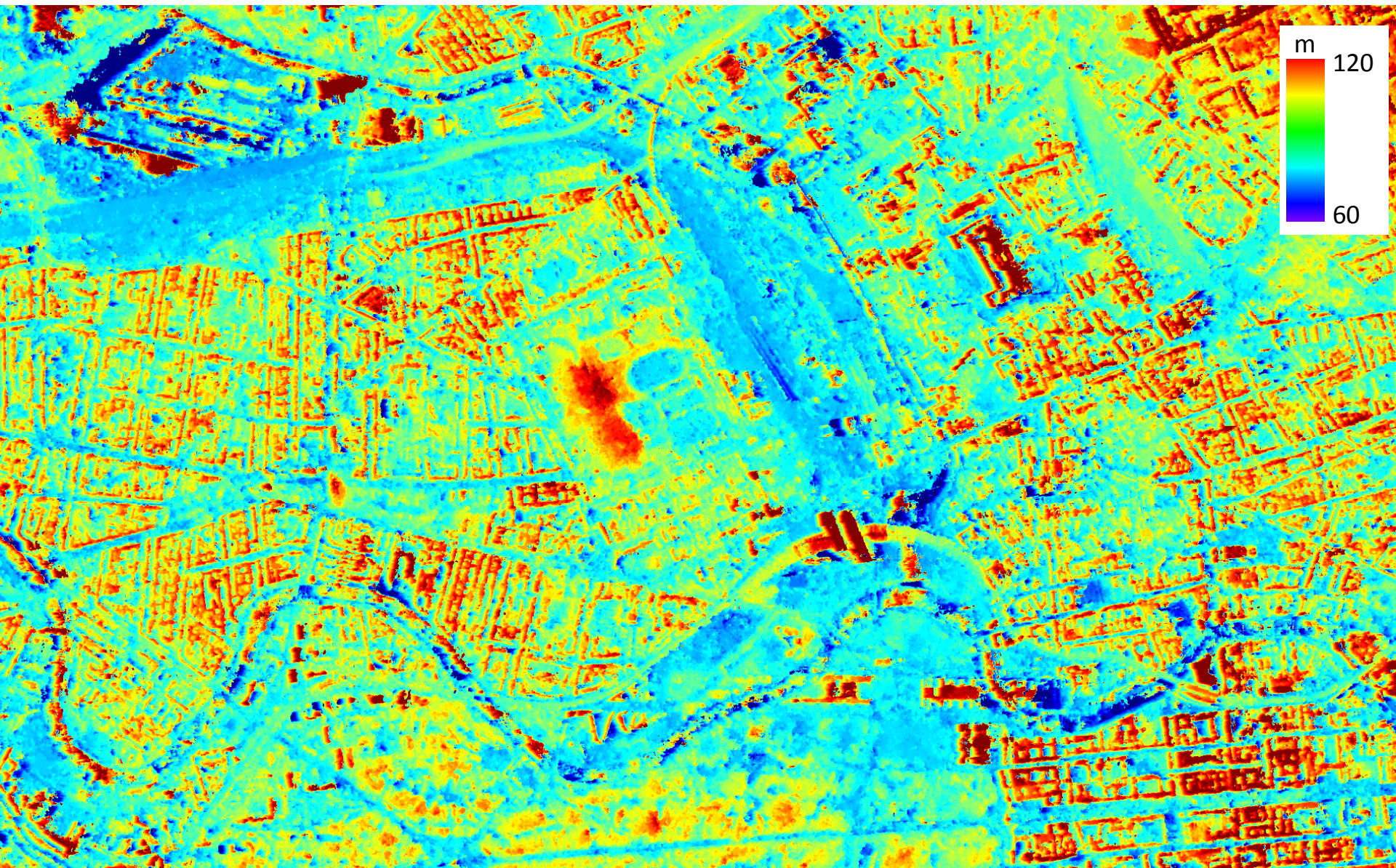


TanDEM-X Operational Spotlight (2.5 m posting)



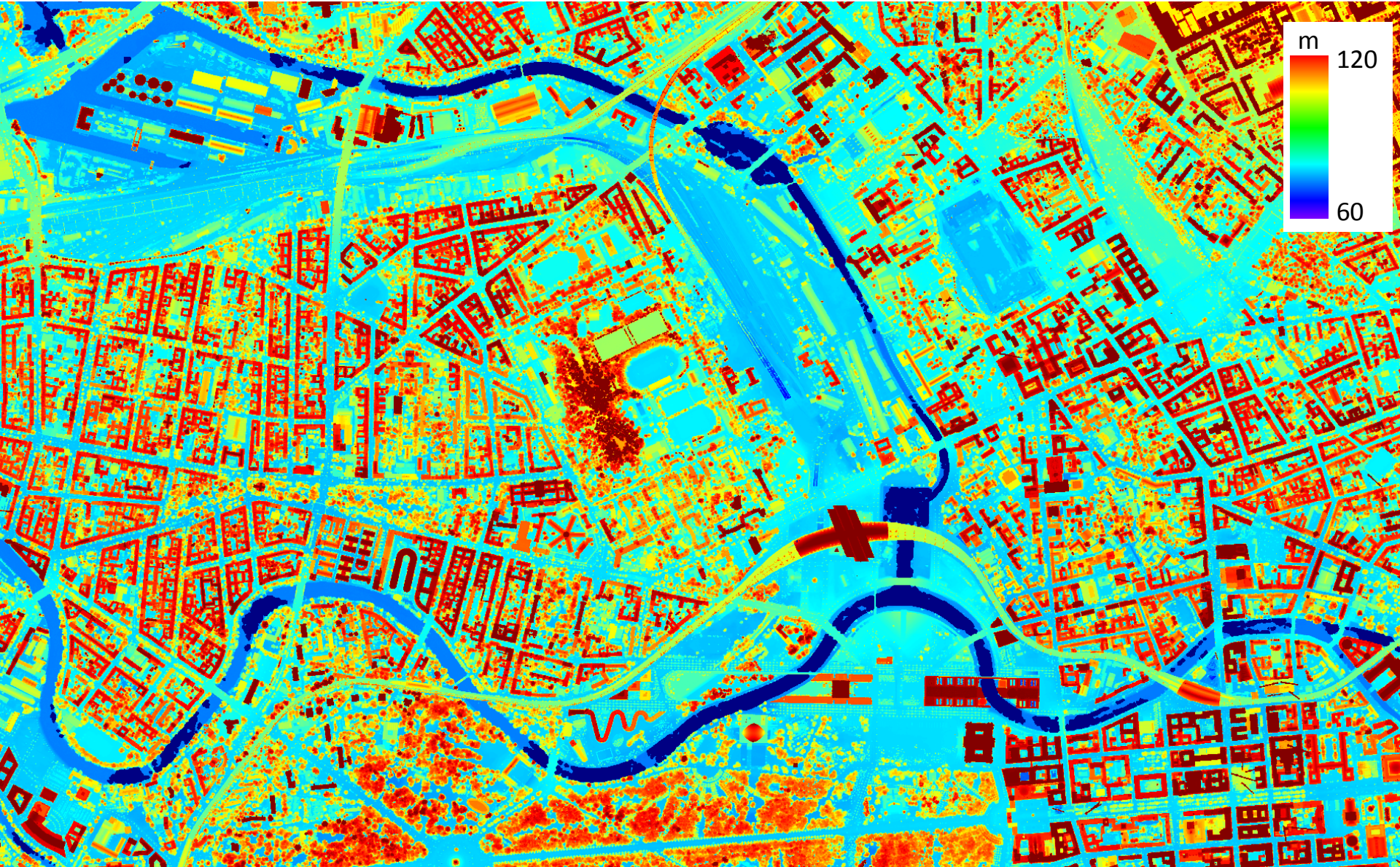


TanDEM-X Experimental Spotlight (2.5 m posting)



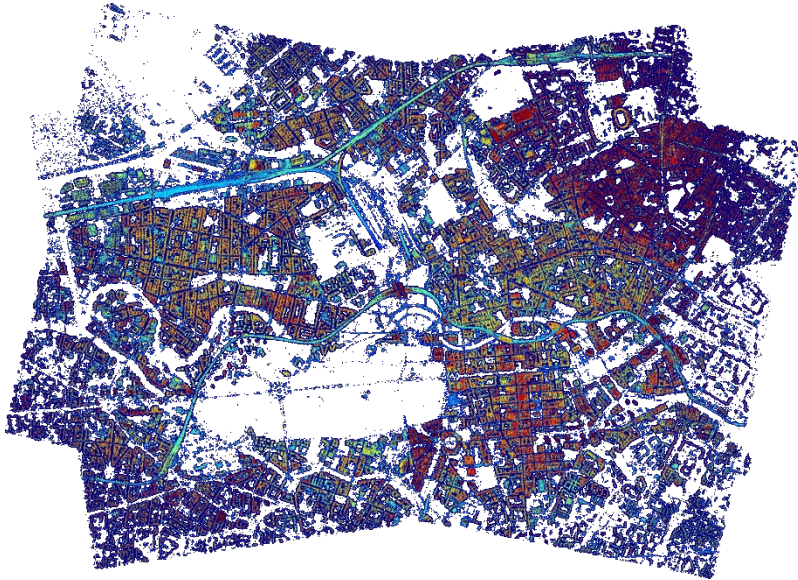


LiDAR (2.5 m posting – interpolated)



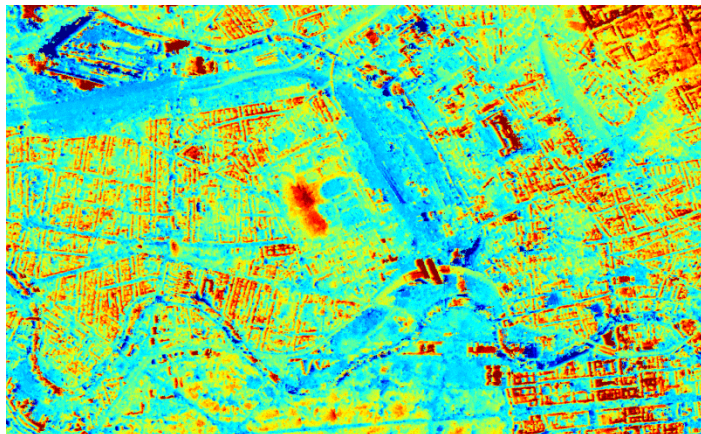


Fusion of PSI and TanDEM-X : inputs



PSI-DEM

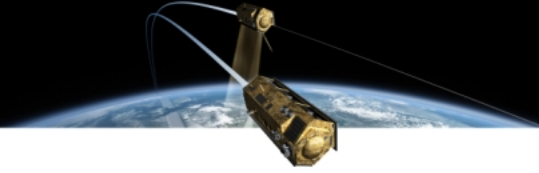
- 2.5m raster
- derived from 4 stacks (2 asc+2desc) ~ 120 images
- valid heights represent (mainly) the structures
- non valid heights represent the rest
- geocoded PS point cloud (*) rasterized at 2.5m with a façade detection (PCA) (maximum value), gaps filling (median), and ground removal



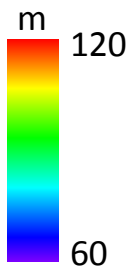
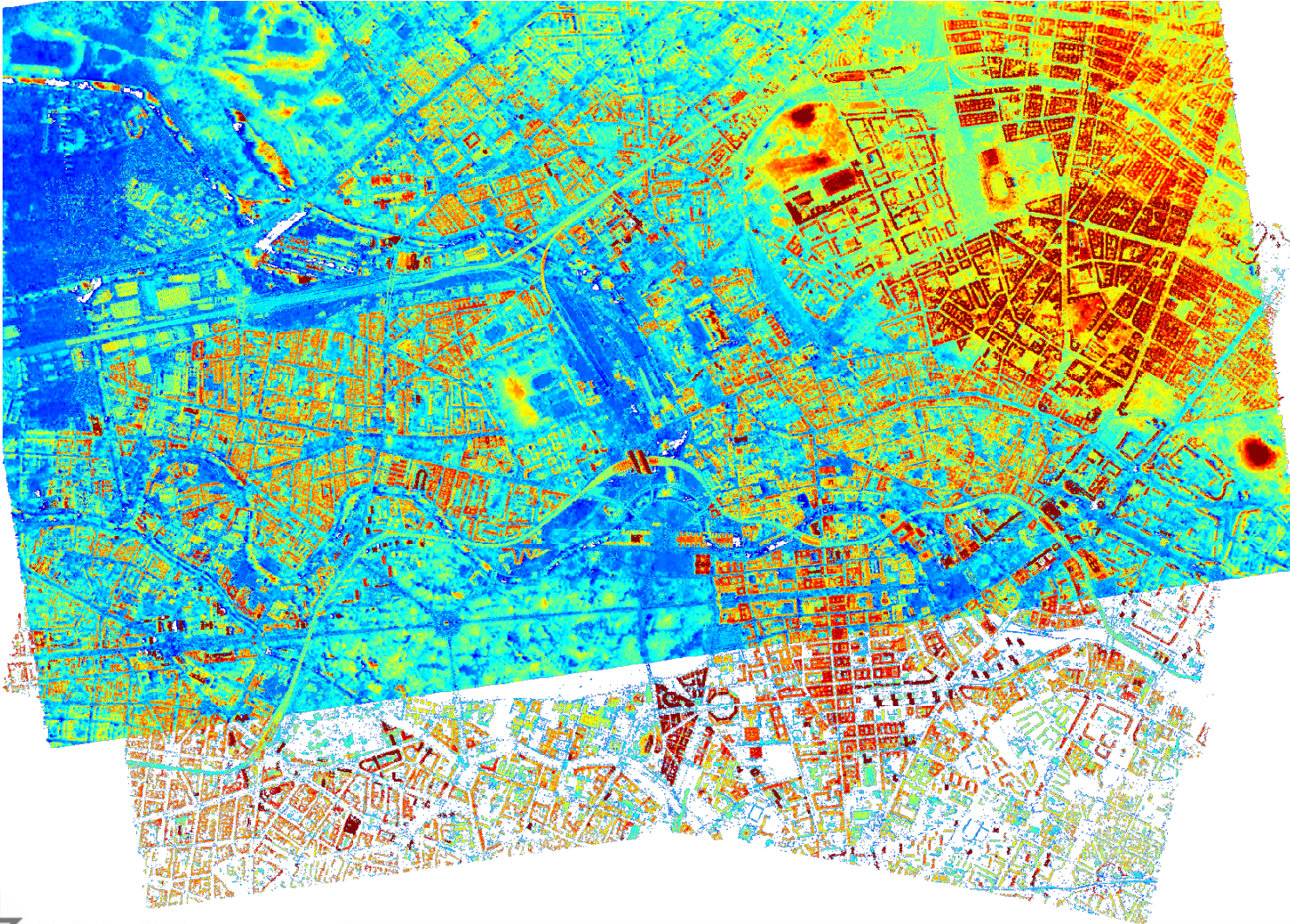
TanDEM-X DEM

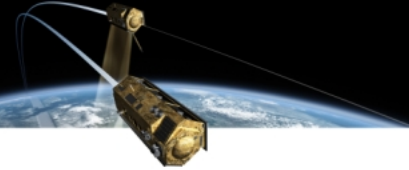
- 2.5m raster
- derived from one single pass HRS acquisition (04.01.2012)
- HoA: 65.4 meters
- adaptively filtered to a 2.5 m resolution with the IDAN filter
- no invalid point in the DEM



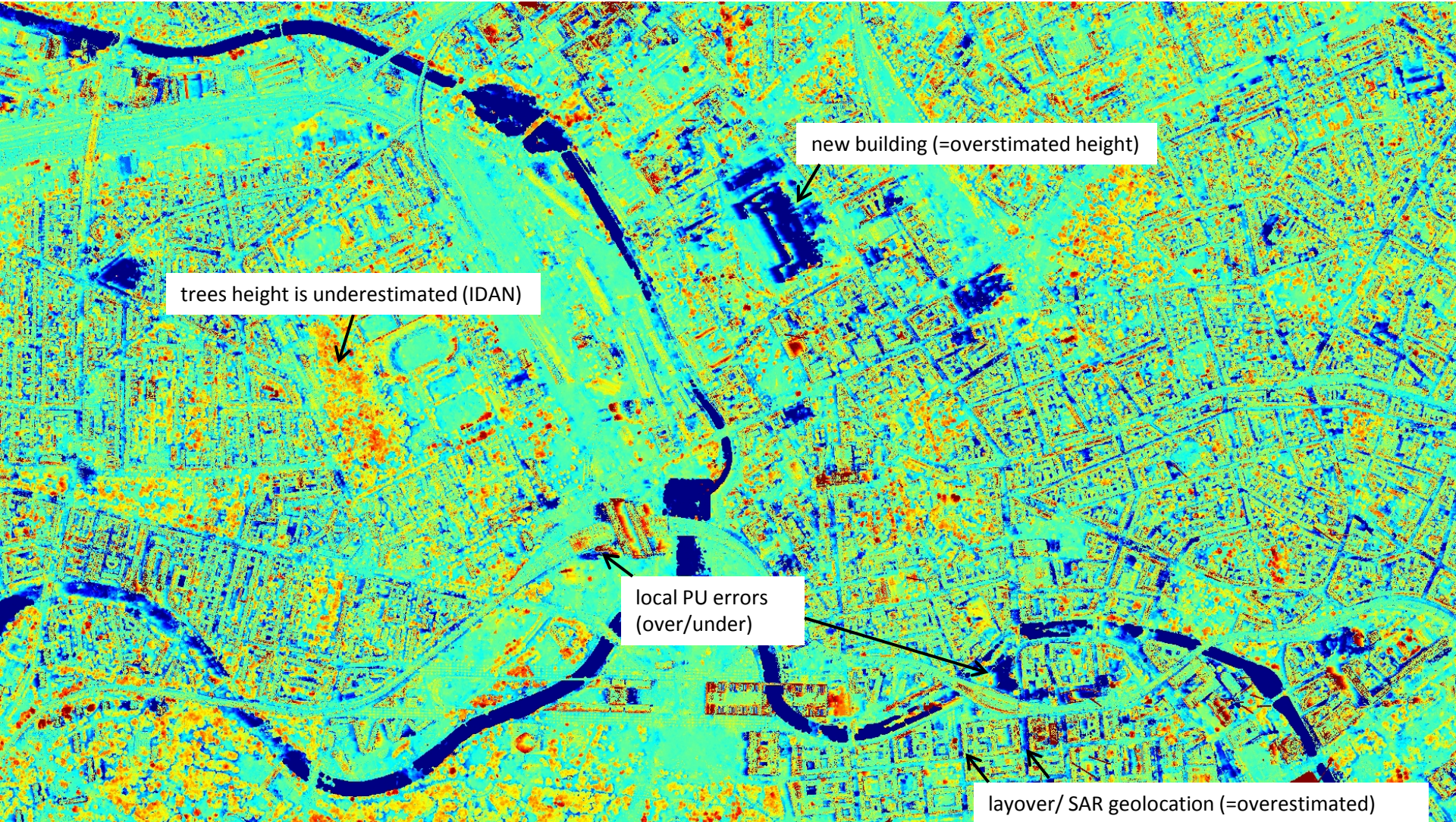


TanDEM-X DEM fused with PSI DEM





Difference Analysis – (LiDAR – Fused DEM)



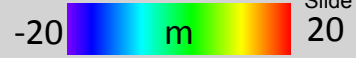
trees height is underestimated (IDAN)

new building (=overestimated height)

local PU errors
(over/under)

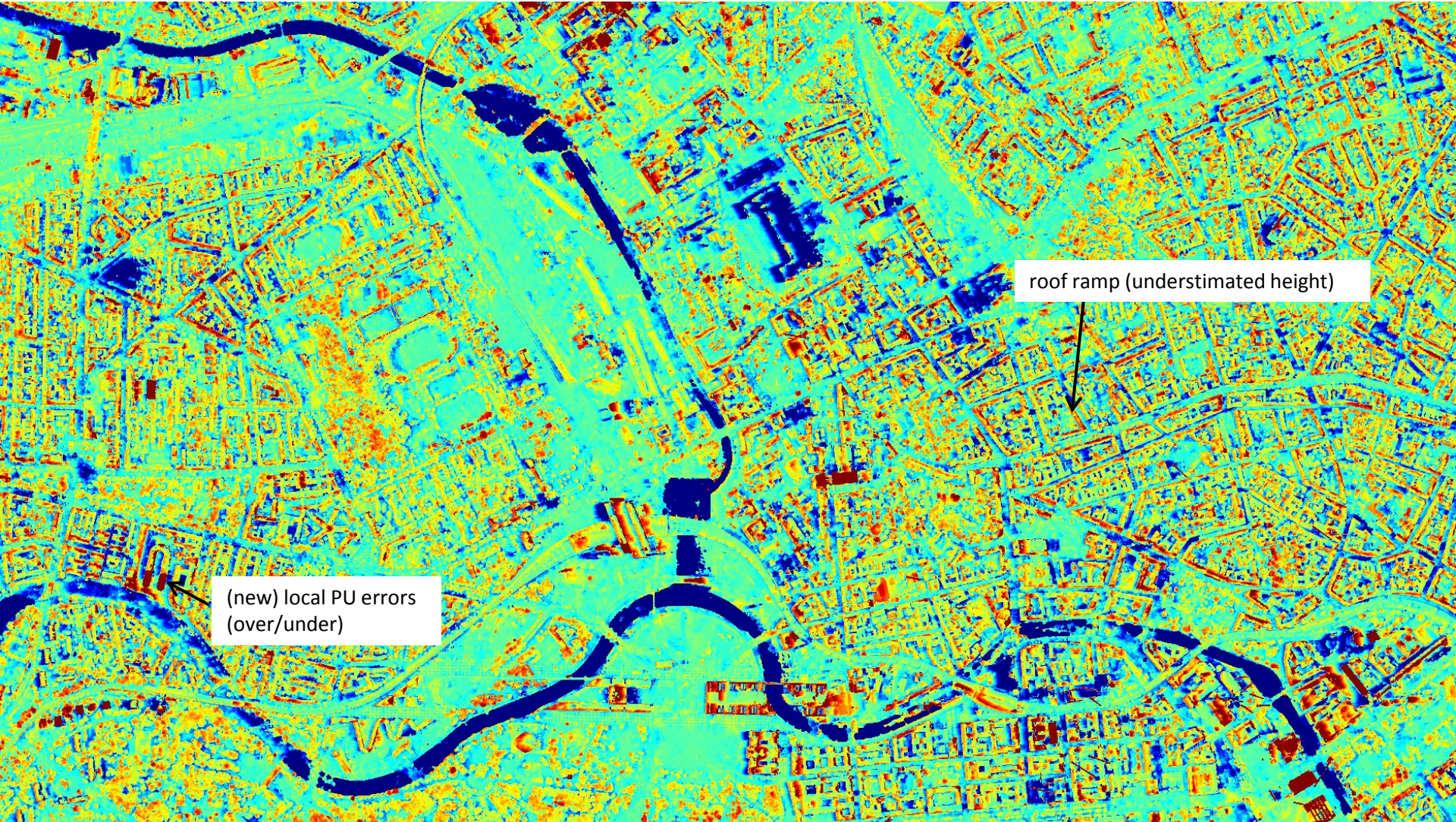
layover/ SAR geolocation (=overestimated)

general good matching for structures and roads





Difference Analysis – (LiDAR – TanDEM-X)

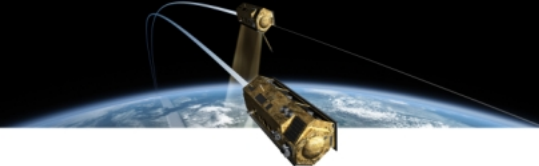


roof ramp (underestimated height)

(new) local PU errors
(over/under)

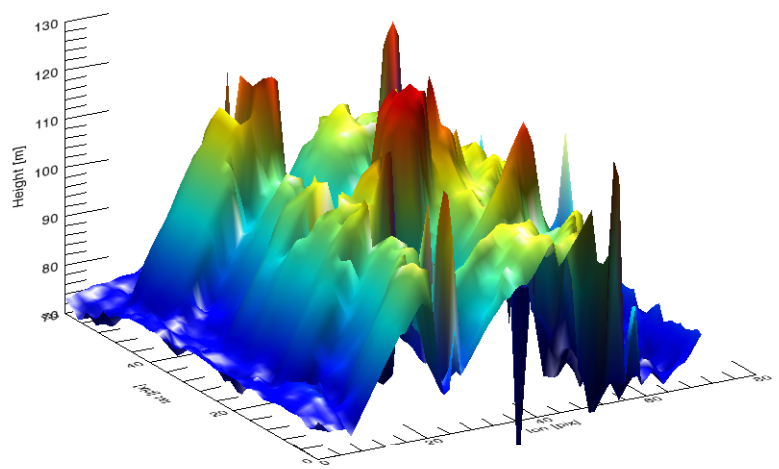
evident building underestimation (ramp effect)



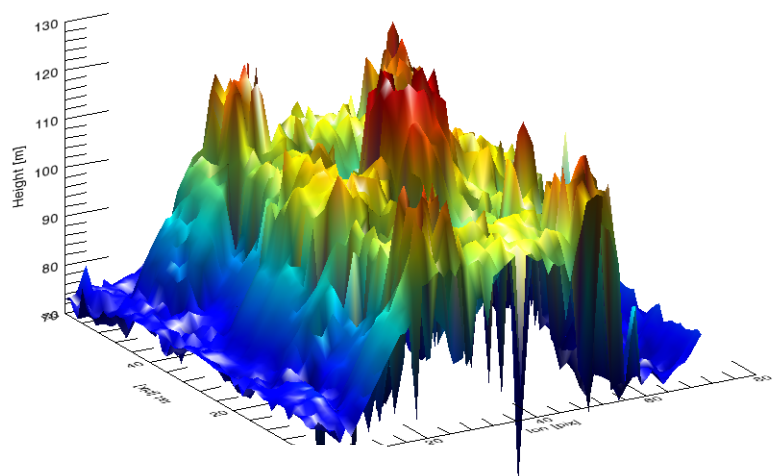


Visual comparison: Bundestag in the Reichstagsgebäude

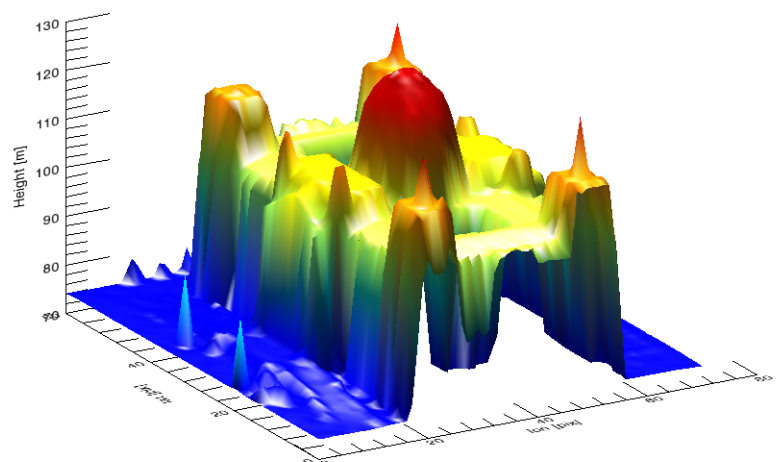
Bundestag - TanDEM-X

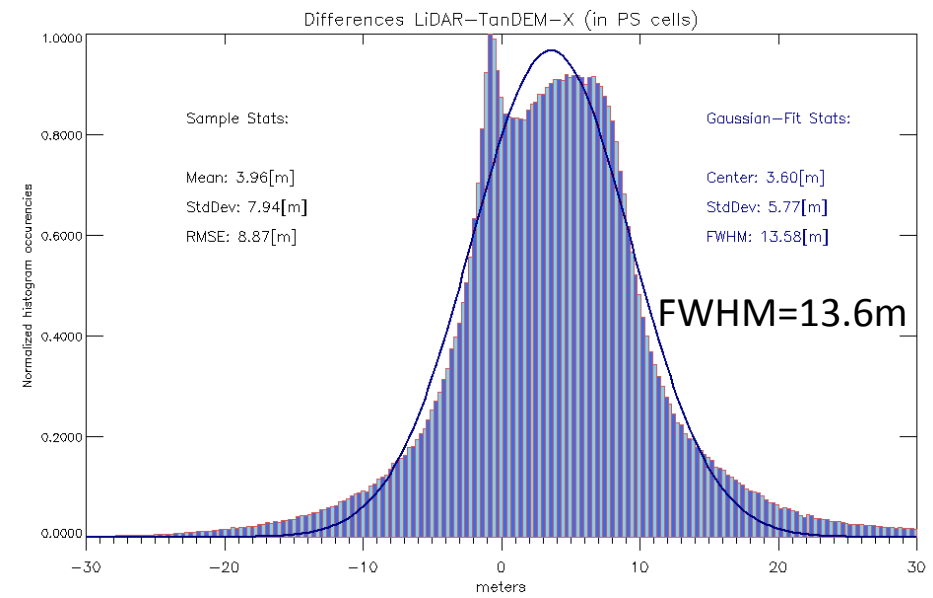
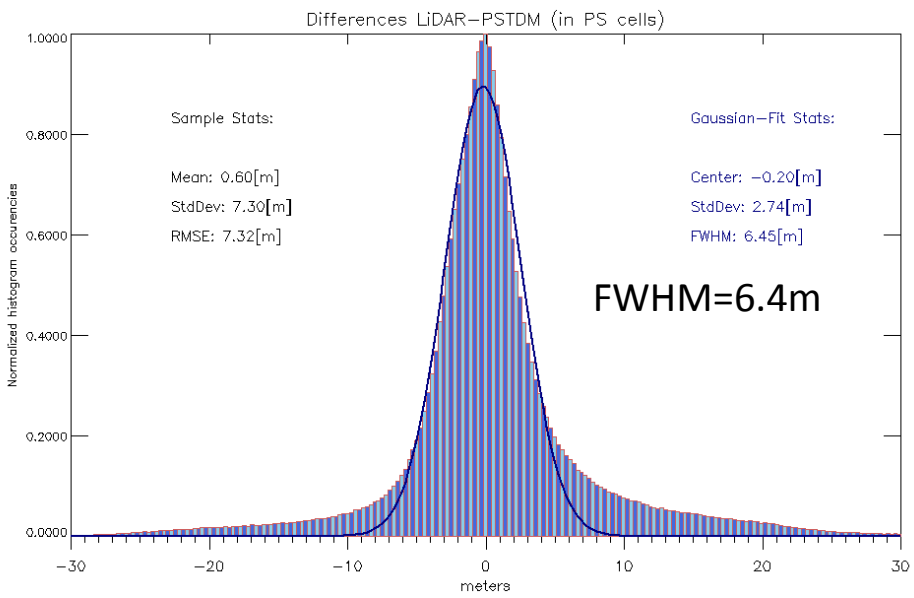
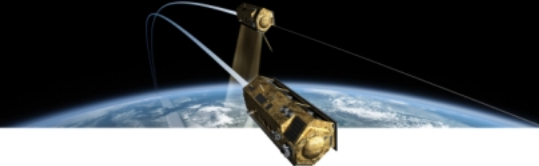


Bundestag - Fused DEM

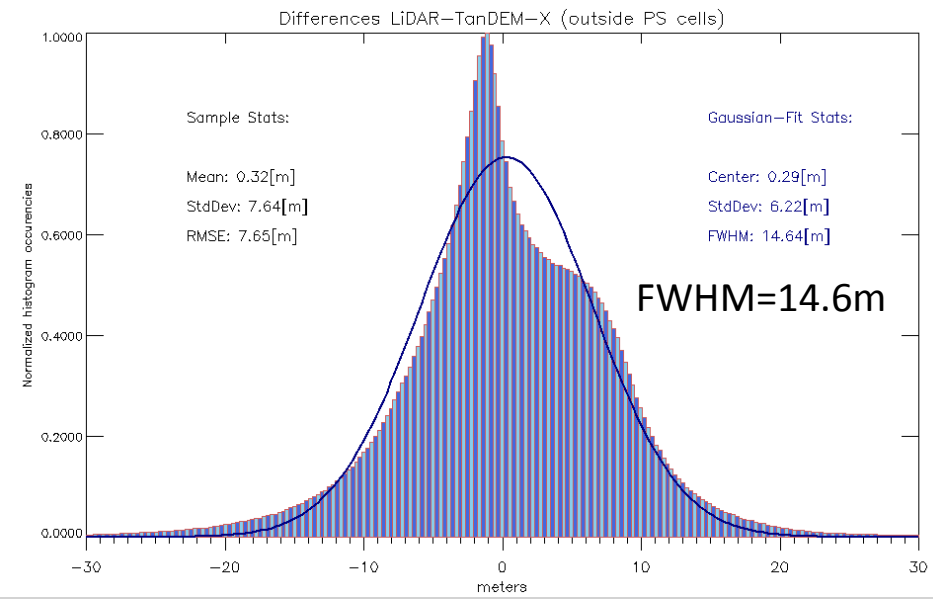


Bundestag - LiDAR



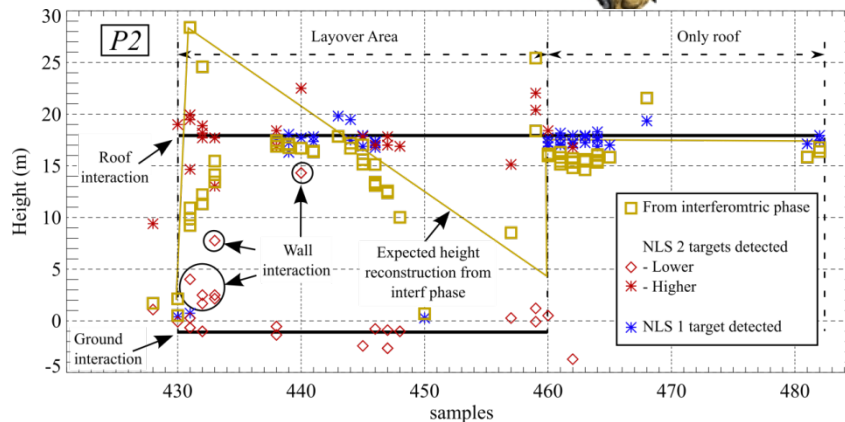


- The improvements in the PS cells are quantitatively demonstrated with the differences with the reference
- The large peak in the differences outside the PS cells is due to “layover height” next to every building
- Generally, the RMSE is below 8 meters

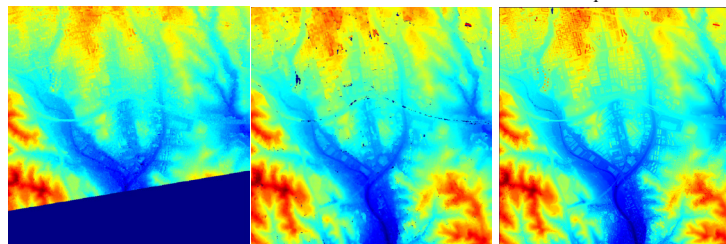


Ongoing research..

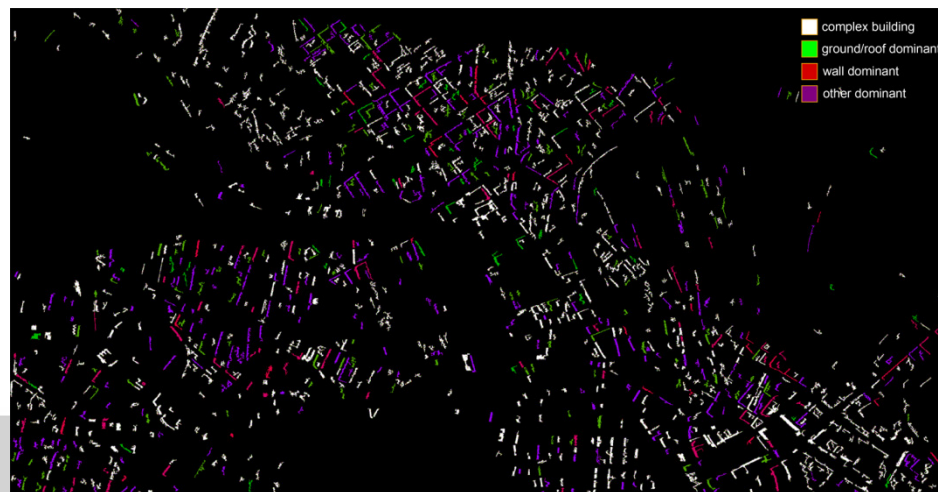
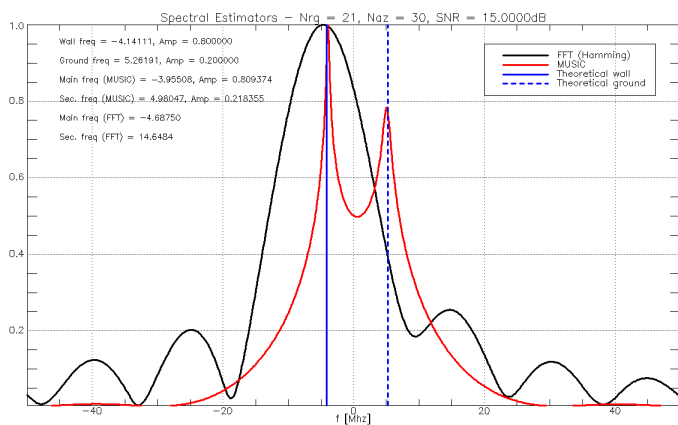
- Alternating Bistatic tomography



- Optical/radar DEM fusion



- In-processing layover detection and fringe frequency estimation

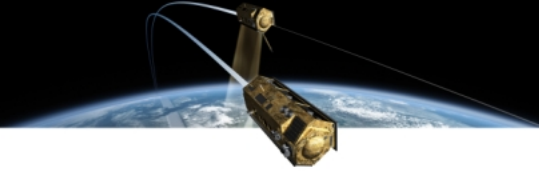




Conclusions

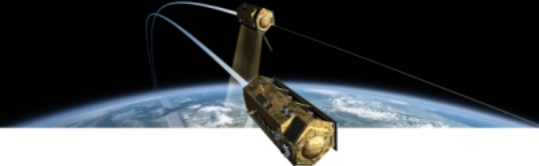
- TanDEM-X mission opens new perspectives in urban DEM generation from satellite's SAR interferometry
 1. no temporal decorrelation
 2. high resolution
- A global accuracy of about 8 meters was retrieved for both structures and non-structures in the DEM
- Layover creates trends in the DEM. A general roof's height underestimation is noticeable, especially in HR data. Note: cities with skylines (i.e. New York) can't be correctly mapped with single-pass data!
- PSI and experimental TanDEM-X data can be fused to obtain an accurate InSAR urban DEM
- InSAR processing tricks were suggested. For the correction of local phase unwrapping errors is of fundamental importance a fringe adaptive filter
- Current work is dedicated to in-processing solutions of layover, fusion/comparison with more optical sensors and "cheap tomography"

Thank you for your attention!



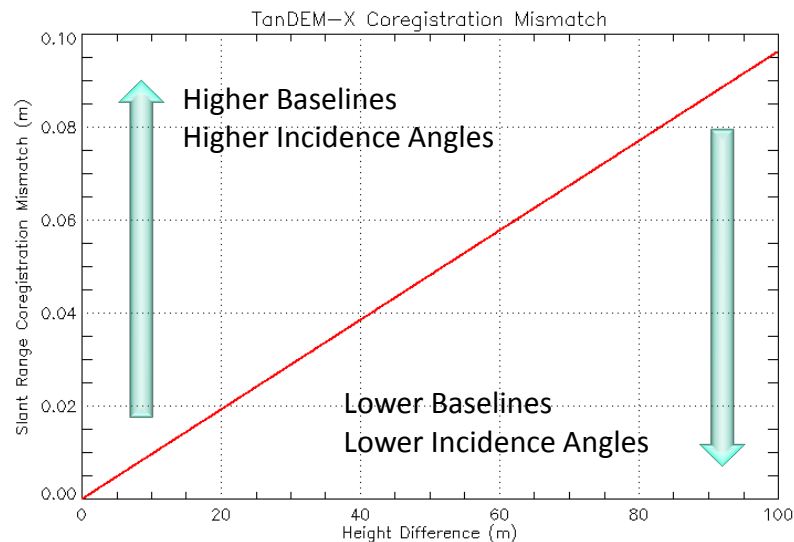
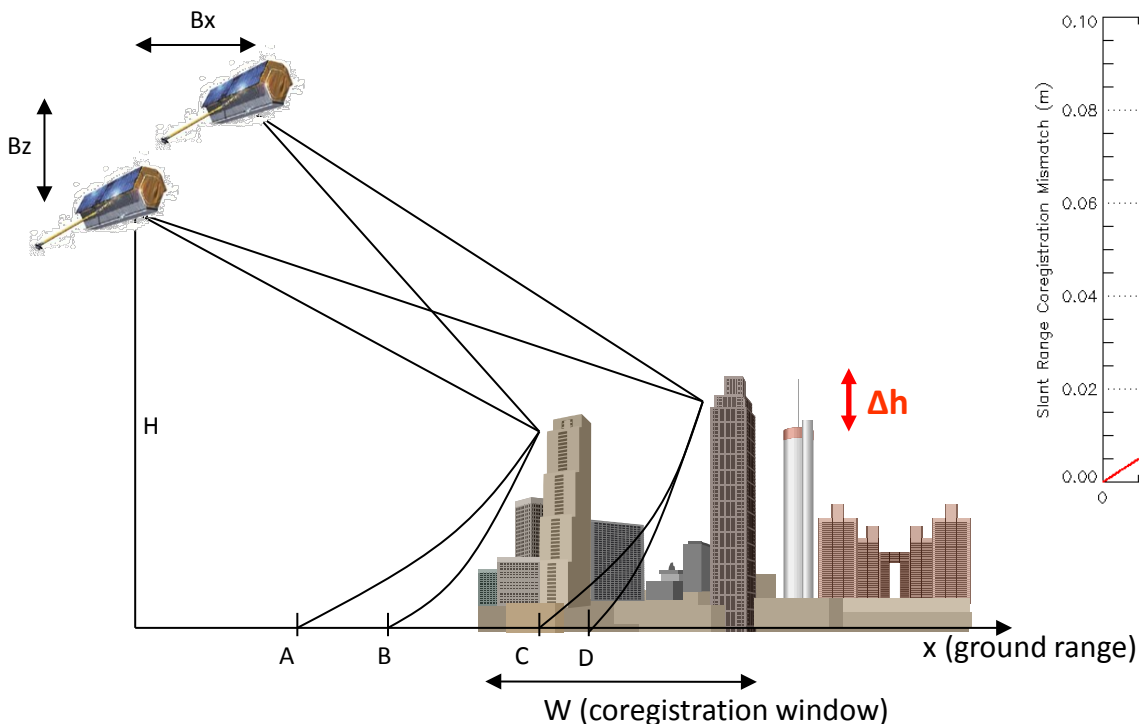
EXTRA MATERIAL





SAR Coregistration

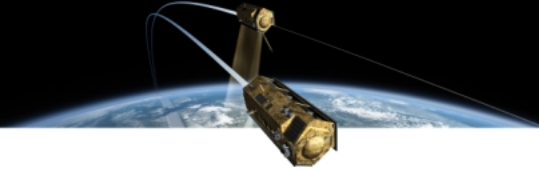
- ITP coregistration strategy already well optimized
- Window size: 32x32 pix – Spacing 64x64 pix
- **Urban Issue:** coregistration mismatch due to different heights: $\Delta p = AB-CD$



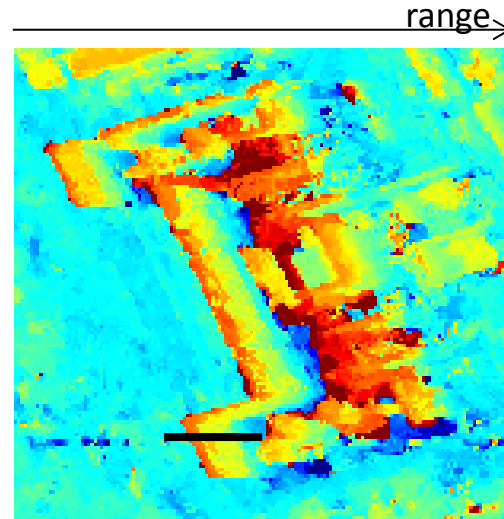
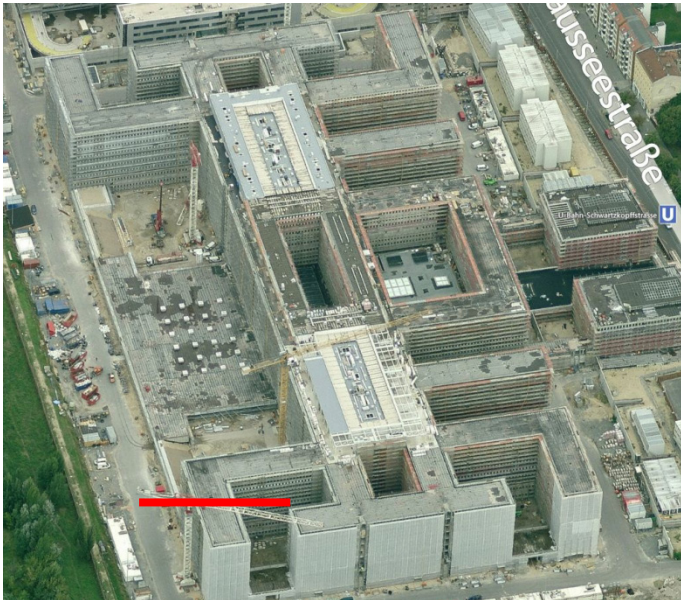
~ 1cm every 10 m of Δh
= 1/50 HRS resolution cell

✓ Small coherence loss

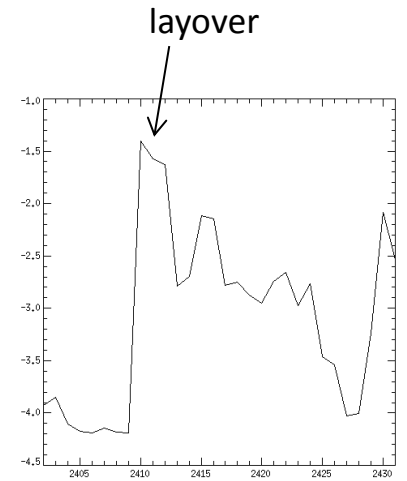
$$p = \sqrt{x^2 + (H-h)^2} - H - \sqrt{(x-B_x)^2 + (H+B_z-h)^2} - (H+B_z) - B_x$$



Real Example

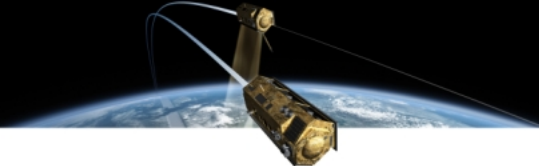


Interferometric phase



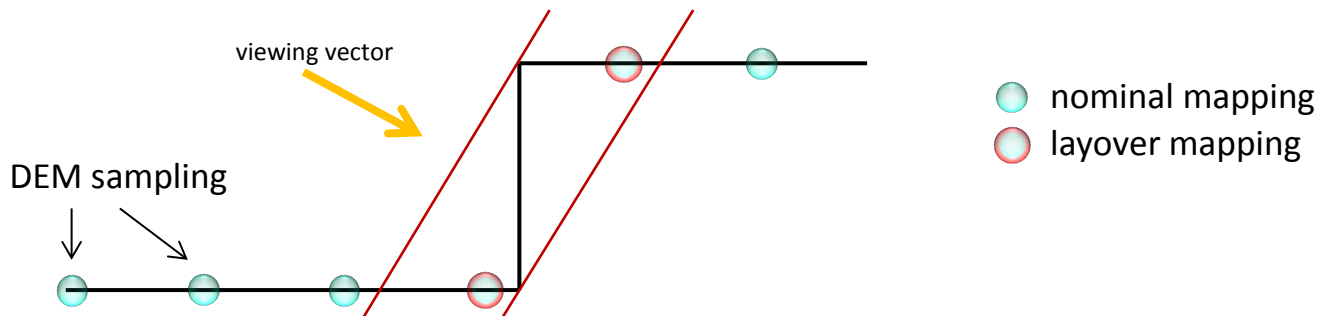
→ The layover peak is evident through all the front façade of the building

→ How is the DEM in the layover areas?
= how does the geocoding algorithm behave?

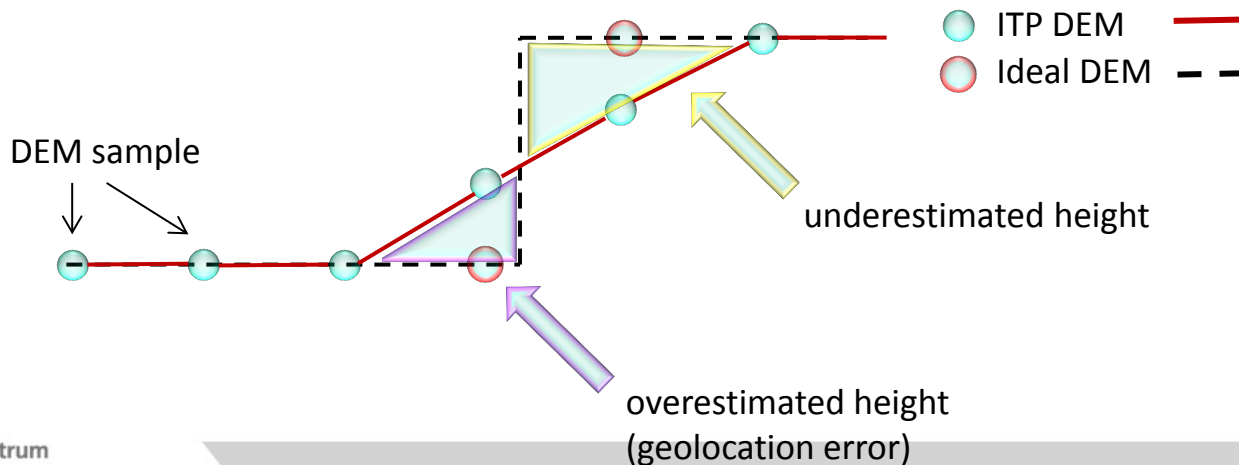


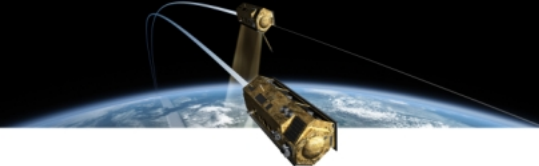
SAR Geocoding on Buildings

- Due to the SAR side-looking geometry, layover cannot be directly solved in single-pass DEMs (=TanDEM-X)



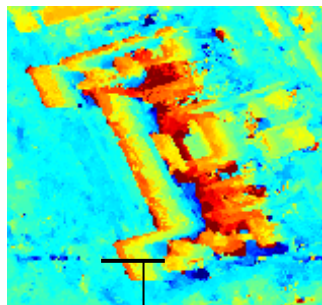
- The geocoding algorithm “connects” the first and last unlayovered samples creating a geolocation error and a building height underestimation



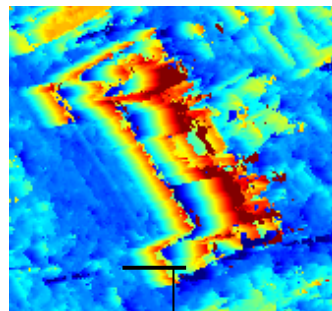


Real Example

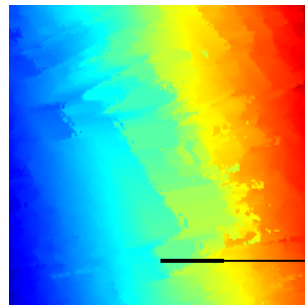
absolute phase



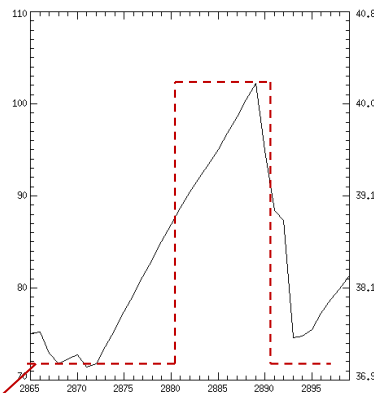
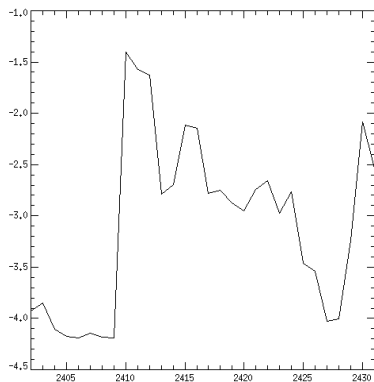
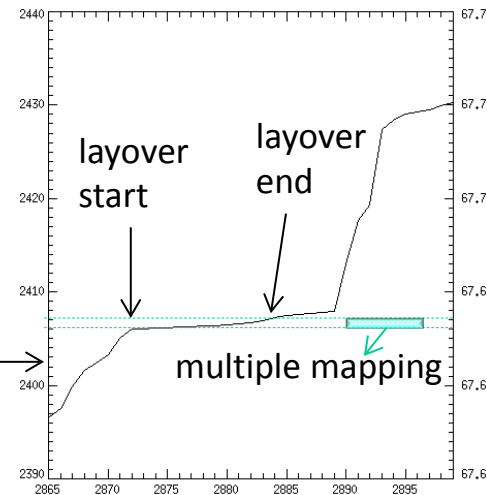
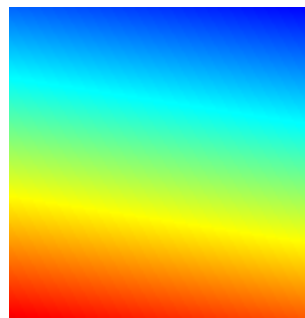
DEM



range mapping
DEM->SAR



azimuth mapping
DEM->SAR

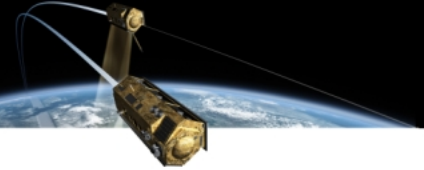


building

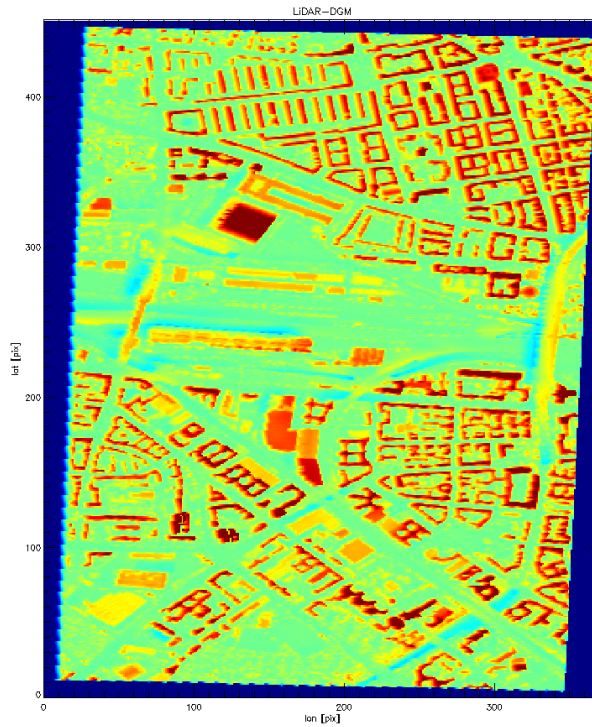
ramp

➔ is layover easily detectable? (see later)

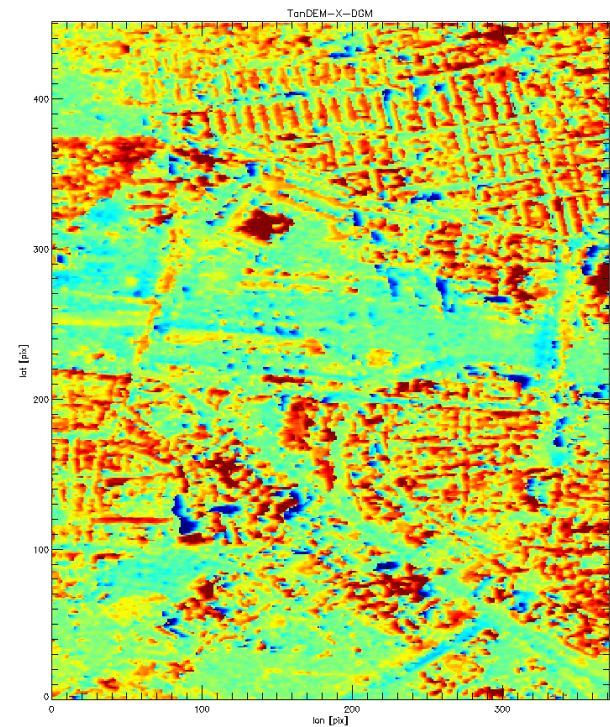




Operational Urban DEM Analysis: Inputs and Strategy



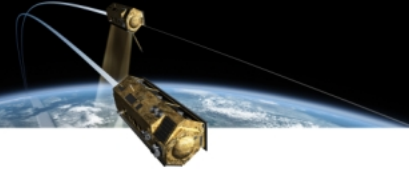
LiDAR – 1m resolution



TanDEM-X – 12m resolution

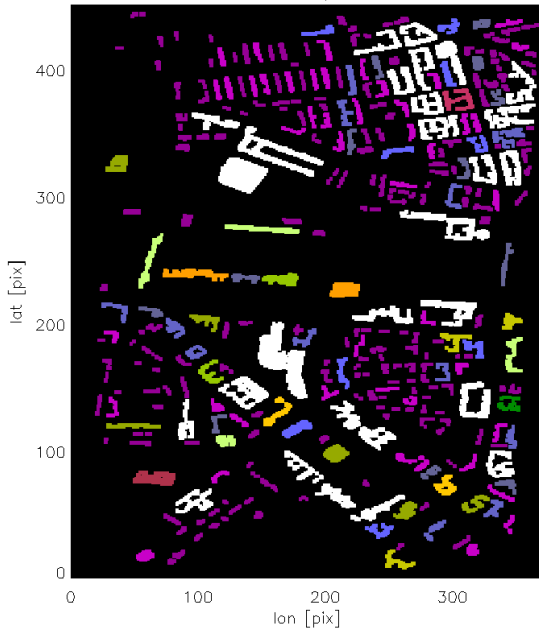


- **Comparison strategy:** extract the buildings from LiDAR and commonly segment the TanDEM-X *
- **Sub-product:** volume map



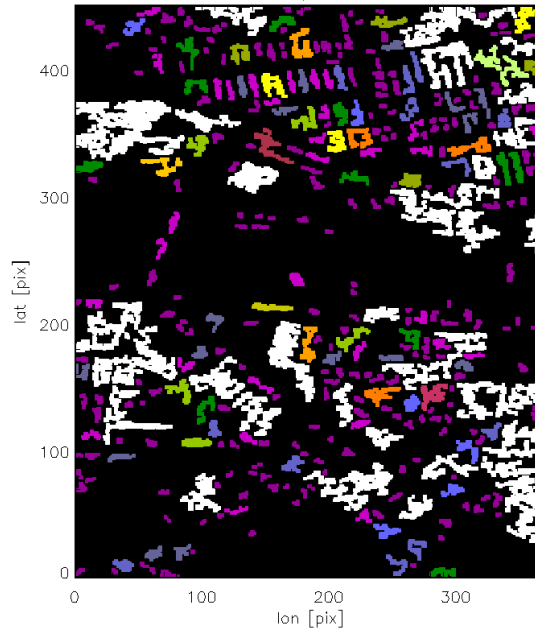
Operational Urban DEM Analysis: Volume Maps

Volume Map LiDAR



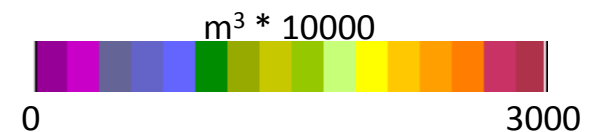
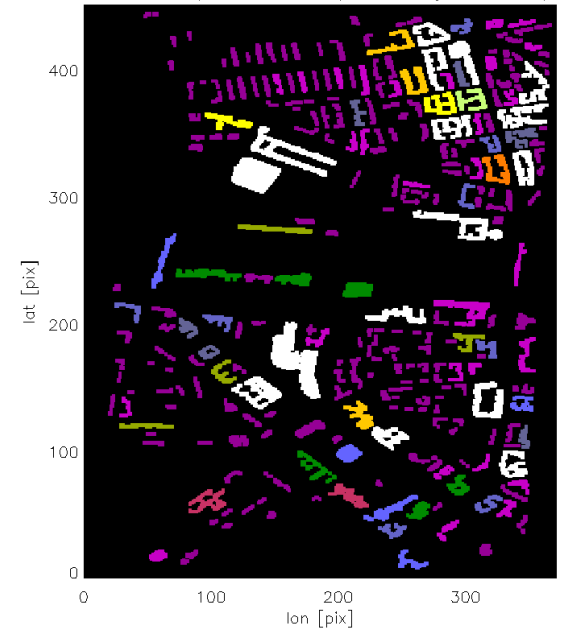
#buildings: 403

Volume Map TanDEM-X



#buildings: 437

Volume Map TanDEM-X (LiDAR Segmentation)



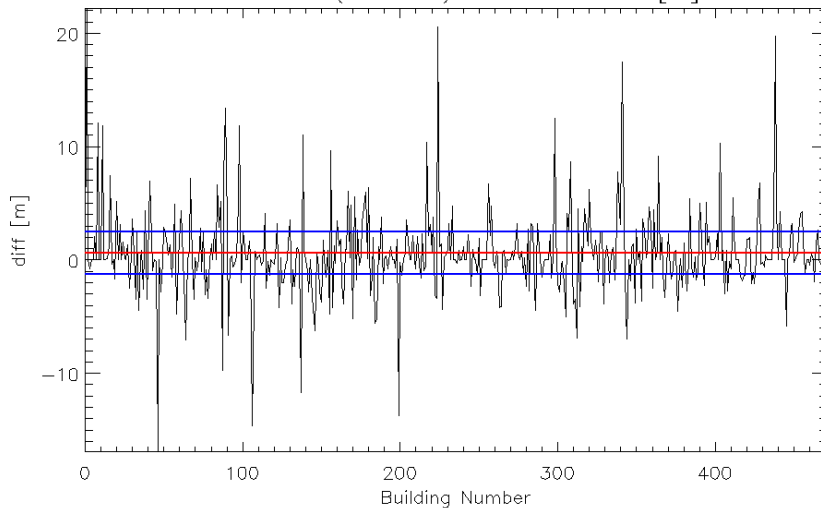
- +8% buildings detected in TDM → PU errors, noise
- TanDEM-X total volume is underestimated



Operational Urban DEM Analysis: Differences

	Difference Mean [m]	Difference STD [m]	RMSE [m]
LiDAR Segmentation	4.536	4.334	8.205
Common Segmentation	0.589	3.743	4.824

Difference Lidar-TanDEM-X (common). Mean: 0.589357[m] STD: 3.74325



- “LiDAR segmentation” includes ground components due to building geolocalization errors
- “Common segmentation” provides an absolute difference below the meter
- As expected from the theoretical trends, TanDEM-X underestimates the building heights